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515 Galveston Drive
Redwood City, California 94063 USA
Telephone: (650) 298-5300
Facsimile: (650) 298-5446

FACSIMILE COVER SHEETTotal No. of Page(s): 11 (including this sheet)

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**CERTIFICATE OF FACSIMILE TRANSMITTAL
UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, facsimile no. (703) 872-8308, on November 8, 2004

By: 

Margaret A. Powers

Attorney Docket No: 0156.160US

In re application of:

Juha Punnonen, *et al.*

Examiner: Unassigned

Application No.: 10/805,054

Art Unit: Unassigned

Filed: March 19, 2004

For: Targeting of Genetic Vaccine Vectors

TO BE MADE OF OFFICIAL RECORDEnclosed in this facsimile transmission are:

1. This Facsimile Cover Sheet (one page)
2. Third Preliminary Amendment (nine pages)
3. Fee Transmittal for FY 2005 Sheet (one page)

11 pages total

Please contact Margaret Powers at (650) 298-5809 if you have any problems receiving this transmission.

Received
(5) pages

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**FEE TRANSMITTAL
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 468.00**Complete if Known**

Application Number	10/805,054
Filing Date	March 19, 2004
First Named Inventor	Juha Punnonen
Examiner Name	Unassigned
Art Unit	
Attorney Docket No.	0156.160US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
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50 - 0990

Maxygen, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
46	-20** = 26	X 18 =	468
Independent Claims	3	-3** = 0	X 0 = 0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 468

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 65	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,530	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	
1502 480	2502 245	Design issue fee	
1503 660	2503 330	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Margaret A. Powers	Registration No.	39,804	Telephone	(650) 298-5809
Signature	<i>Margaret A. Powers</i>	Date	11/8/04		

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Typed or Printed Name: Margaret A. Powers

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Signature: *Margaret A. Powers*

Date: November 8, 2004

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By 
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No: 10/805,054

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For: Targeting of Genetic Vaccine Vectors

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Art Unit: Unassigned

THIRD PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a preliminary amendment to the above-identified patent application. Please enter
the following amendments prior to examination of the application:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 9 of this paper.